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# DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)

☐ Declaration Submitted with Initial Filing OR ☒ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number 1/1373

First Named Inventor Armin Heckel

**COMPLETE IF KNOWN**

Application Number 10 / 624,983

Filing Date July 22, 2003

Group Art Unit to be assigned

Examiner Name to be assigned

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**INDOLINONE DERIVATIVES SUBSTITUTED IN THE 6 POSITION, THE PREPARATION THEREOF AND THEIR USE AS PHARMACEUTICAL COMPOSITIONS**

the specification of which (Title of the invention)

☐ is attached hereto OR☒ was filed on (MM/DD/YYYY) 07/22/2003 as United States Application Number or PCT International

Application Number 10/624,983 and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
10233366	Germany	07/23/2002	<input type="checkbox"/>	YES <input type="checkbox"/>	NO <input checked="" type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)
60/403,106	08/13/2002

☐ Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

(Page 1 of 2)

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.**DECLARATION — Utility or Design Patent Application**

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application or PCT Parent  
NumberParent Filing Date  
(MM/DD/YYYY)Parent Patent Number  
(if applicable)☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

☐ Customer Number

OR

☒ Registered practitioner(s) name/registration number listed belowPlace Customer  
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Name	Registration Number	Name	Registration Number
Robert P. Raymond	25,089	Susan K. Pocchiarri	45,018
Alan R. Stempel	28,991	Philip I. Datlow	41,482
Mary-Ellen M. Devlin	27,928	Timothy X. Witkowski	40,232
Anthony P. Bottino	41,629	David A. Dow	46,124

☐ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.Direct all correspondence to: ☒ Customer Number or Bar Code Label 28501 OR ☐ Correspondence address below

Name			
Address			
Address			
City	State	Zip	
Country	Telephone	Fax	


I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:

☐ A petition has been filed for this unsigned inventor

Given Name (first and middle (if any))

Family Name or Surname

Armin	HECKEL						
Inventor's Signature			Date	26.8.02			
Residence: City	Biberach	State		Country	Germany	Citizenship	DE
Post Office Address	Geschwister-Scholl-Strasse 71						
Post Office Address							
City	Biberach	State		ZIP	88400	Country	Germany

☒ Additional inventors are being named on the 3 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto

(Page 2 of 2)

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**DECLARATION**
**ADDITIONAL INVENTOR(S)**  
**Supplemental Sheet**  
 Page 1 of 3

<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Frank		HILBERG	
Inventor's Signature <i>Frank Hilberg</i>		Date <i>04.12.2003</i>	
Residence: City Vienna	State	Country Austria	Citizenship DE
Mailing Address Pilgramgasse 18/22			
Mailing Address			
City Vienna	State	ZIP 1050	Country Austria
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Thorsten		LEHMANN-LINTZ	
Inventor's Signature <i>Thorsten Lehmann-Lintz</i>		Date <i>26. September 2003</i>	
Residence: City Ochsenhausen	State	Country Germany	Citizenship DE
Mailing Address Ameisenberg 1			
Mailing Address			
City Ochsenhausen	State	ZIP 88416	Country Germany
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Guenther		LINZ	
Inventor's Signature <i>Guenther Linz</i>		Date <i>9/26/03</i>	
Residence: City Mittelbiberach	State	Country Germany	Citizenship DE
Mailing Address Eschenweg 6			
Mailing Address			
City Mittelbiberach	State	ZIP 88441	Country Germany

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Case No. 1/1373

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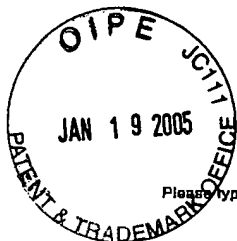
**DECLARATION**

**ADDITIONAL INVENTOR(S)**  
 Supplemental Sheet  
 Page 2 of 3

<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Joerg		KLEY	
Inventor's Signature		Date <u>26. Sept. 2003</u>	
Residence: City	Mittelbiberach	State	Country Germany
Mailing Address		Citizenship DE	
Poststrasse 5/4			
Mailing Address			
City	Mittelbiberach	State	Country Germany
ZIP		88441	
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Jacobus C.A.		VAN MEEL	
Inventor's Signature		Date <u>4 Dec. 2003</u>	
Residence: City	Moedling	State	Country Austria
Mailing Address		Citizenship NL	
Weisses Kreuz Gasse 61			
Mailing Address			
City	Moedling	State	Country Austria
ZIP		2340	
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Werner		RALL	
Inventor's Signature		Date <u>16. Dec. 2003</u>	
Residence: City	Mittelbiberach	State	Country Germany
Mailing Address		Citizenship DE	
Beethovenstrasse 33			
Mailing Address			
City	Mittelbiberach	State	Country Germany
ZIP		88441	

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**DECLARATION**

**ADDITIONAL INVENTOR(S)**  
**Supplemental Sheet**  
 Page 3 of 3

<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Gerald Juergen		ROTH	
Inventor's Signature <i>Gm J</i>		Date <i>10/22/03</i>	
Residence: City Biberach	State	Country Germany	Citizenship DE
Mailing Address Akazienweg 47			
Mailing Address			
City Biberach	State	ZIP 88400	Country Germany
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Peter		SIEGER	
Inventor's Signature <i>Peter Sieger</i>		Date <i>26. Sept. 2003</i>	
Residence: City Mittelbiberach	State	Country Germany	Citizenship DE
Mailing Address Wielandstrasse 27			
Mailing Address			
City Mittelbiberach	State	ZIP 88441	Country Germany
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Ulrike		TONTSCH-GRUNT	
Inventor's Signature <i>Ulrike Tontsch-Grunt</i>		Date <i>04. Dec. 2003</i>	
Residence: City Baden	State	Country Austria	Citizenship AT
Mailing Address Oetkerweg 23			
Mailing Address			
City Baden	State	ZIP 2500	Country Austria

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**DECLARATION FOR UTILITY OR  
DESIGN  
PATENT APPLICATION  
(37 CFR 1.63)**

☐ Declaration Submitted with Initial Filing OR ☒ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number	1/1373
First Named Inventor	Armin Heckel
<b>COMPLETE IF KNOWN</b>	
Application Number	10 / 624,983
Filing Date	July 22, 2003
Group Art Unit	to be assigned
Examiner Name	to be assigned

As a below named inventor, I hereby declare that:

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I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

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☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) 07/22/2003 as United States Application Number or PCT International

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Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
10233366	Germany	07/23/2002	<input type="checkbox"/>	YES <input type="checkbox"/>	NO <input checked="" type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

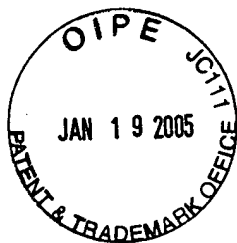
☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

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[Page 1 of 2]

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## DECLARATION — Utility or Design Patent Application

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT International application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

☐ Customer Number  OR ☒ Registered practitioner(s) name/registration number listed below

Name	Registration Number	Name	Registration Number
Robert P. Raymond	25,089	Susan K. Pocchiarl	45,016
Alan R. Stempel	28,991	Philip I. Datlow	41,482
Mary-Ellen M. Devlin	27,928	Timothy X. Witkowski	40,232
Anthony P. Bottino	41,629	David A. Dow	46,124

☐ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.

Direct all correspondence to: ☒ Customer Number or Bar Code Label  OR ☐ Correspondence address below

Name			
Address			
Address			
City	State	ZIP	
Country	Telephone	Fax	

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:  ☐ A petition has been filed for this unsigned inventor

Given Name (first and middle (if any))		Family Name or Surname	
Armin		HECKEL	
Inventor's Signature			Date <input type="text" value="26.7.05"/>
Residence: City	Biberach	State	Country Germany
Post Office Address	Geschwister-Scholl-Strasse 71		
Post Office Address			
City	Biberach	State	ZIP 88400
Country	Germany		

☒ Additional inventors are being named on the  supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto

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<b>DECLARATION</b>	<b>ADDITIONAL INVENTOR(S)</b> <b>Supplemental Sheet</b> Page <u>1</u> of <u>3</u>
--------------------	---

<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Frank		HILBERG	
Inventor's Signature <i>Frank Hilberg</i>		Date <i>04.12.2003</i>	
Residence: City	Vienna	State	Country Austria
Mailing Address		Citizenship DE	
Pilgramgasse 18/22			
Mailing Address			
City	Vienna	State	ZIP 1050
		Country Austria	
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Thorsten		LEHMANN-LINTZ	
Inventor's Signature <i>Thorsten Lehmann-Lintz</i>		Date <i>26. September 2003</i>	
Residence: City	Ochsenhausen	State	Country Germany
Mailing Address		Citizenship DE	
Ameisenberg 1			
Mailing Address			
City	Ochsenhausen	State	ZIP 88416
		Country Germany	
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Gunter		LINZ	
Inventor's Signature <i>Gunter Linz</i>		Date <i>9/26/03</i>	
Residence: City	Mittelbiberach	State	Country Germany
Mailing Address		Citizenship DE	
Eschenweg 6			
Mailing Address			
City	Mittelbiberach	State	ZIP 88441
		Country Germany	

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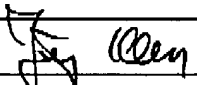
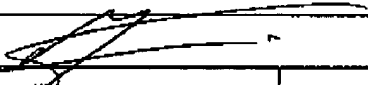
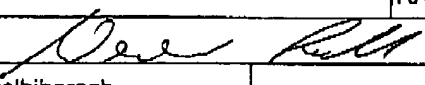
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**DECLARATION****ADDITIONAL INVENTOR(S)**  
**Supplemental Sheet**  
Page 2 of 3

<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Joerg		KLEY	
Inventor's Signature 		Date 26. Sept. 2003	
Residence: City	Mittelbiberach	State	Country Germany
		Citizenship DE	
Mailing Address Poststrasse 5/4			
Mailing Address			
City	Mittelbiberach	State	ZIP 88441
		Country Germany	
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Jacobus C.A.		VAN MEEL	
Inventor's Signature 		Date 4 Dec. 2003	
Residence: City	Moedling	State	Country Austria
		Citizenship NL	
Mailing Address Weisses Kreuz Gasse 61			
Mailing Address			
City	Moedling	State	ZIP 2340
		Country Austria	
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Werner		RALL	
Inventor's Signature 		Date 16. Dec. 2003	
Residence: City	Mittelbiberach	State	Country Germany
		Citizenship DE	
Mailing Address Beethovenstrasse 33			
Mailing Address			
City	Mittelbiberach	State	ZIP 88441
		Country Germany	

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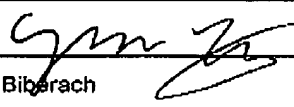
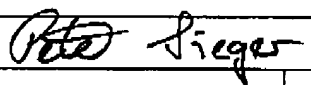
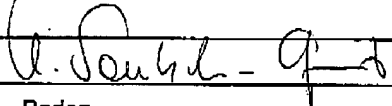
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**ADDITIONAL INVENTOR(S)**  
**Supplemental Sheet**  
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<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Gerald Juergen		ROTH	
Inventor's Signature 		Date 10/22/03	
Residence: City Biberach	State	Country Germany	Citizenship DE
Mailing Address Akazienweg 47			
Mailing Address			
City Biberach	State	ZIP 88400	Country Germany
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Peter		SIEGER	
Inventor's Signature 		Date 26. Sept. 2003	
Residence: City Mittlbiberach	State	Country Germany	Citizenship DE
Mailing Address Wielandstrasse 27			
Mailing Address			
City Mittlbiberach	State	ZIP 88441	Country Germany
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Ulrike		TONTSCH-GRUNT	
Inventor's Signature 		Date 04. Dec. 2003	
Residence: City Baden	State	Country Austria	Citizenship AT
Mailing Address Oetkerweg 23			
Mailing Address			
City Baden	State	ZIP 2500	Country Austria

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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